

Introducing  
our Chair for  
the Forum...



**Elizabeth Wilks-Wood**  
**Chief Compliance  
Officer**  
Haleon

**HALEON**

## SPEAKERS



**Keith Davies**  
**Group Chief Risk and  
Compliance Officer**  
Admiral Group



**Kirin Kalsi**  
**General Counsel,**  
**E.ON UK**  
E.ON



**Richard Hanson**  
**Associate General Counsel  
for Ethics and Compliance  
and Director of Legal  
Operations**  
Smiths Group



**Mia Morgan**  
**Deputy General Counsel**  
Trainline



**Andrea Lattimore**  
**Global Director  
Compliance and  
Business  
Integrity**  
Vodafone



**Mark Maurice-Jones**  
**General Counsel and  
Head of Legal Services,  
UK & Ireland**  
Nestlé



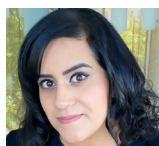
**Cameron Craig**  
**Deputy General Counsel  
Data Privacy**  
HSBC



**Dan Caunt**  
**Group Company  
Secretary and General  
Counsel**  
Admiral  
Group



**David Morgan**  
**General Counsel**  
Warburtons



**Harpreet Thandi,**  
**Regional Counsel  
(General Counsel) UK &  
Ireland**  
Ferrero



**Chris Beevor**  
**UK MLRO and Group  
Compliance COO**  
GAM Investments



**Mitzi Pryce**  
**Executive Director -  
Conduct, Financial Crime,  
Compliance, and Risk  
Governance**  
Standard  
Chartered Bank



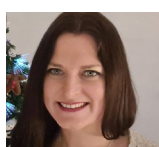
**Rustum Rau**  
**Legal Director, BT  
Networks and BT Legal  
Operations**  
BT



**Kandarp Thakar**  
**Legal Director for UK and  
Ireland**  
Uber



**Bav Padhiar**  
**Head of Risk - Data and  
Feeds, Workflows, Data  
Intelligence**  
London Stock Exchange  
Group



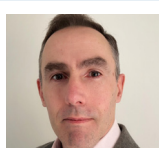
**Samantha Delamore**  
**Risk and Compliance  
Director**  
The Restaurant Group



**Rebecca O'Connor**  
**Head of Corporate  
Integrity and Ethics**  
Booking.com



**Dom Conteh**  
**Head of Ethics and  
Compliance**  
Pilgrim's Europe



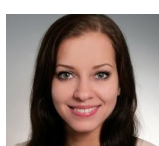
**Peter Spake**  
**Head of Compliance**  
Kingfisher



**Rhoda Kalejaiye**  
**Head of Compliance**  
Simplyhealth



**Silas Heys**  
**Head of Legal and  
Secretary**  
The Cumberland Building  
Society



**Xenia Knispel**  
**Senior Compliance  
Associate, Targeted  
Integrity Management**  
Booking.com



[www.gcandcompliancesstrategyforum.com](http://www.gcandcompliancesstrategyforum.com)  
E: [info@ahmediauk.com](mailto:info@ahmediauk.com) T: 01293 850300

## MAIN THEMES

- **A Holistic Approach to Workflow Management**
- **Crisis Command - Leading Through Risk and Adversity**
- **Legal Connect - Where Partnerships Drive Progress**
- **The Cybersecurity Blueprint - Building a Safe Digital Future**
- **Elevating ESG in Every Decision**
- **Protecting Your Digital Identity**
- **Compliance Champions - Building a Culture of Integrity**
- **Out with the Old - Revolutionising Your IT Landscape**

## VENUE



## WOKEFIELD ESTATE

De Vere Wokefield Estate, set in 250 acres of Berkshire parkland, blends historic charm with modern luxury. It features 376 newly refurbished rooms, an 18-hole golf course, and a state-of-the-art gym and indoor pool. The estate is an ideal destination for both leisure and business, offering over 30 flexible meeting spaces and event facilities for up to 500 guests. Located just 10 minutes from the M4 and 40 minutes from Heathrow, it's easily accessible, making it a perfect choice for conferences, and getaways.

## EVENT AGENDA DAY ONE - 27th MARCH

08:00 - 08:30 **Registration and Networking Reception**

08:30 - 08:40 **Chair's Opening Remarks**



**Elizabeth Wilks-Wood**  
Chief Compliance  
Officer  
Haleon

**HALEON**

08:40 - 09:00 **The Future of Legal Collaboration - Insights into Strategic Partnerships**



OPENING  
KEYNOTE



**Kirin Kalsi**  
General Counsel,  
E.ON UK  
E.ON

In January 2024, E.ON forged partnerships with three leading law firms to provide a comprehensive range of specialist legal services aimed at bolstering their operational capabilities during the energy transition. Their recent implementation phase has already delivered significant benefits, including a unified online portal that streamlines instructions, tracks spending, and gathers valuable feedback, ensuring clarity and predictability in legal expenditures.

This session will offer insights into E.ON's ambitious growth plan to drive initiatives over the next five years. Kirin will showcase how they are setting the standard for legal collaboration, the transformative potential of external partnerships, and the strategic use of technology to enhance their legal framework and scale service delivery.

**e.on**



09:00 - 09:20

## **Building Legal Esprit de Corps - Fostering Connection and Collaboration Across Borders**



**Richard Hanson**  
Associate  
General Counsel  
for Ethics and  
Compliance and  
Director of Legal  
Operations  
Smiths Group

In an increasingly interconnected yet dispersed corporate environment, fostering a sense of unity within legal teams can be challenging. By enhancing the frequency and quality of interactions, both online and in-person, Smiths Group aims to bridge the gaps created by geographical, time zone, and cultural differences, developing a unique set of legal behaviours and internal branding that reflect their values and promotes a cohesive team identity.

This session will explore the strategic initiatives being implemented at Smiths Group to cultivate a strong esprit de corps within their legal function. Richard will demonstrate how they are prioritising clear and consistent communication and how these practical strategies can be applied in other organisations to enhance team synergy.

**smiths**

09:20 - 09:40

## **Solution Provider Case Study**

09:40 - 10:00

## **Building Human-Like Interactions - Leveraging AI for Compliance**



**Peter Spake**  
Head of  
Compliance  
Kingfisher

Generative AI is playing a pivotal role in shaping modern compliance programmes, making them more relatable and approachable for employees. A common challenge in this area is the perception of compliance materials as overly complex or intimidating, which can hinder engagement.

This session will explore how organisations can leverage generative AI to enhance their frameworks, ensuring that complex regulations are communicated in ways that resonate with staff. Peter will discuss how to train AI for more human-like interactions and share best practices for developing systems that foster a supportive environment for training and awareness. Attendees will leave with practical insights to advance their compliance initiatives and create a more engaging approach to regulatory adherence.

**Kingfisher**

10:05 - 10:25

## **Solution Provider Case Study - Stream 1/2/3/4**

10:30 - 11:00

## **Business Meetings**



11:00 - 11:20

## Operationalising Integrity - Aligning Your Code of Conduct



**Rebecca O'Connor**  
Head of  
Corporate  
Integrity and  
Ethics  
Booking.com



**Xenia Knispel**  
Senior  
Compliance  
Associate,  
Targeted  
Integrity  
Management  
Booking.com

In today's complex business landscape, whether you are part of an SME or a multinational corporation, effectively managing integrity is more important than ever. Targeted Integrity Management (TIM) is a strategic approach that embeds ethical practices and values into every facet of an organisation. It is crucial for fostering a culture of integrity, which not only enhances compliance but also drives sustainable growth and builds trust with stakeholders.

Join Rebecca and Xenia as they explore actionable strategies for operationalising your code of conduct in alignment with these values to cultivate a high-integrity culture and reinforce a commitment to ethical business practices. They will discuss how to implement TIM to proactively prevent violations, all whilst leveraging data triangulation for informed decision-making to foster a customer-centric approach.

**Booking.com**

11:20 - 11:40

## Solution Provider Case Study

11:40 - 12:00

## Building a Robust Compliance Framework - Insights from Pilgrim's Initiatives



**Dom Conteh**  
Head of Ethics  
and Compliance  
Pilgrim's Europe

Effective holistic workflow management is essential for addressing challenges such as conflicts of interest and third-party onboarding. Organisations operating in silos often encounter inefficiencies that hinder their services, preventing seamless integration of compliance into existing processes to ensure alignment amongst all stakeholders. By leveraging technology to consolidate data from various systems, organisations can break down these silos and foster collaboration, ultimately enhancing their frameworks.

Dom will focus on the importance of providing adequate assurance from a management perspective while navigating complex regulatory landscapes. This session will showcase how Pilgrim's initiatives exemplify effective management of these challenges, paving the way for sustainable business growth.



12:00 - 12:55

## Group 1 - P2P Connect Speed Networking



12:00 - 12:55



**Mia Morgan**  
**Deputy General Counsel**  
Trainline

## **Group 2 - Group Discussion**

### **Navigating Regulations - Enhancing Your Strategic Role**

Effectively scaling your organisation while navigating regulatory frameworks is essential for sustainable growth. As companies face new regulatory challenges and adapt to shifting global market dynamics, the ability to think tactically and implement actionable steps has become increasingly vital.

The legal and compliance function has expanded beyond standard responsibilities, now playing a critical role as strategic partners in achieving business objectives. So, what strategies can these teams adopt to enhance their contributions while managing evolving regulations?

This session will be set in an interactive format, whereby Mia will encourage a candid discussion to both challenge the perception of the role of legal in strategic decision making but also build out a toolkit of effective approaches to scaling your in house team as a commercial business partner in an evolving market.

Discussion points will include:

- How can technology, such as legal tech and automation tools, help scale in your in-house teams?
- What approaches can be implemented to ensure alignment with broader business objectives?
- How can you optimise workflows and processes within your departments?



 **trainline**

13:00 - 14:00

## **Networking Lunch**



14:00 - 14:55

## **Group 2 - P2P Connect Speed Networking**



14:00 - 14:55



**Mia Morgan**  
**Deputy General  
Counsel**  
Trainline

## **Group 1 - Group Discussion** **Navigating Regulations - Enhancing Your Strategic Role**

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 **trainline**

15:00 - 15:45

## **Business Meetings**



15:50 - 16:10

## Industry Presentation

16:10 - 16:30

## Shaping Your Safety Culture One Step at a Time



**Samantha  
Delamore**  
Risk and  
Compliance  
Director  
The Restaurant  
Group

Creating a safety culture fosters an environment where employees feel valued and cared for, leading to higher morale, job satisfaction, and retention. It also promotes open communication and continuous improvement, enhancing overall efficiency and productivity.

This session will explore how small changes can collectively strengthen safety culture and how leadership plays a starring role. Samantha will discuss how this can positively impact performance, reduce operational risks, and cultivate a more resilient organisation. She will consider how this can be measured using maturity models, offering practical examples of changes that can be implemented to shape the future of your organisation.

The  
**Restaurant**  
Group Ltd

16:30 - 16:50

## Solution Provider Case Study

16:55 - 17:15

## Solution Provider Case Study - Stream 1/2/3/4

17:15 - 17:45

## Business Meetings



17:45 - 18:05

## Responding to AI Risk Governance Frameworks



**Cameron Craig**  
Deputy General  
Counsel Data  
Privacy  
HSBC

Creating effective AI risk governance frameworks presents a formidable task, beginning with the intricate web of risks associated with AI, encompassing ethical dilemmas and operational vulnerabilities. Adapting existing governance structures to advanced technologies and educating stakeholders about its intricacies are crucial. To succeed in this endeavour, organisations must proactively enhance their risk management protocols.

In this session, Cameron will explore vital aspects of AI risk governance, addressing biases that permeate every lifecycle stage, whilst understanding and mitigating these biases to align with the ethical principles and regulatory mandates of your organisation.





18:05 - 18:30

### PANEL SESSION

### ESG in Focus - Enhancing our Strategies Together

✓ CLOSING  
KEYNOTE  
PANEL



**Kandarp Thakar**  
Legal Director  
for UK and  
Ireland  
Uber



**Mark Maurice-Jones**  
General Counsel  
and Head of  
Legal Services,  
UK and Ireland  
Nestlé

The legal and compliance functions are crucial in shaping and implementing ESG strategies, ensuring adherence to regulations while upholding ethical standards. They help integrate these principles into business practices, fostering accountability and transparency. As organisations increasingly recognise the importance of these initiatives, what policies can they adopt to enhance their ESG efforts?

Join this panel of experts for a thought-provoking discussion and gain valuable insights into the discussion points below:

- How can we monitor ESG policy to minimise unnecessary expenditure and lead to meaningful change?
- How can we implement effective measures to reduce our carbon footprint?
- How are we embedding these processes into our everyday operations?
- How are clients responding to ESG versus non-ESG products?
- How will regulators monitor organisations to ensure they are meeting their obligations?
- What impact will the Digital Markets, Competition and Consumers (DMCC) Act have on various industries?
- How are is your team preparing for the various ESG challenges facing your business?



**Harpreet Thandi,**  
Regional  
Counsel  
(General  
Counsel) UK &  
Ireland  
Ferrero



**Rustum Rau**  
Legal Director,  
BT Networks  
and BT Legal  
Operations  
BT



**Chris Beevor**  
UK MLRO  
and Group  
Compliance  
COO  
GAM Investments



# Winedown Workshop

**Fizz and Bizz, join our relaxed fun end to the day.  
Canapes | Cocktails | Conversations**

18:35 - 19:05

## Workshop One

### Building Trust in AI - Managing Your Data Rights



**Bav Padhiar**  
**Head of Risk -  
Data and Feeds,  
Workflows, Data  
Intelligence**  
London Stock  
Exchange Group

Managing data rights and addressing the knock-on impacts of new technology are crucial considerations in the age of AI. Delivering effective risk management involves setting the scene from the grassroots, including defining and respecting data rights and implementing robust governance practices. This ensures that risk-based decision-making processes are transparent to all employees and maintains trust with stakeholders.

Bav will discuss the importance of training and reskilling, to ensure that AI deployment aligns with organisational goals. By integrating these elements, entities can effectively manage risks, harness the benefits of technology, and foster a positive, future-ready workplace.



**LSEG**

18:35 - 19:05

## Workshop Two

### Facing the Unforeseen - Your Approach to Business Resilience



**David Morgan**  
**General Counsel**  
Warburtons

In an era marked by significant challenges, ranging from the energy crisis and high inflation to geopolitical conflicts and unpredictable supply chain disruptions, many businesses have faced unanticipated impacts on their operations. Warburtons have adeptly navigated these risks, striving to protect their interests while seizing opportunities for growth.

David will share insights on strengthening crisis management capabilities and fostering an open-minded approach to risk assessment. This workshop will invite you to explore the nuances of crisis response and methods for developing the ability to adapt to unforeseen events, in order to build a more resilient business.



**Warburtons**  
*Family Bakers*

18:35 - 19:05



**Harpreet Thandi,**  
**Regional**  
**Counsel**  
**(General**  
**Counsel) UK &**  
**Ireland**  
Ferrero

### **Workshop Three**

#### **Diversity and Inclusion - What Role Does Legal Play?**

In an ever-changing D&I landscape, senior leaders in the legal function have a unique opportunity to leverage their knowledge, skills and strategic mindset to support initiatives within their organisations. Through our strategic vision and advocacy, can senior legal leaders help transform organisational culture, making it more welcoming and supportive for all employees? Should we be actively championing inclusive policies and practices, in order to create a more equitable workplace? Is the role of the legal function to not only mitigate legal risks, but also to champion a culture of fairness and equity that drives organisational success?

Harpreet will share her personal experiences as a member of the DE&I Council at Ferrero, while encouraging the group to contribute their own insights and vision for fostering change.



**FERRERO**

19:05 - 19:20

**Coffee and Networking Break - Day 1 Close**



20:00 - 20:30

**Drinks Reception**



20:30 - 22:30

**Networking Dinner**



## EVENT AGENDA DAY TWO - 28th MARCH

08:25 - 08:40 **Coffee and Networking**



08:40 - 09:00

### **Adapting Practices - Meeting the Needs of Every Generation**



**Andrea Lattimore**  
Global Director  
Compliance  
and Business  
Integrity  
Vodafone

Traditional compliance approaches often adopt a one-size-fits-all mentality, however this approach no longer works. Great organisations have diversity that needs to be responded too. By deploying unique communication methods, organisations can create a sense of community and collaboration amongst employees, encouraging open discussions that shapes a culture of integrity.

The session will explore scalable solutions that cater to diverse demographics while considering the broader implications for employees in a global context. Andrea will share insights of impactful educational programmes that drives positive behaviour, ultimately enhancing the overall culture of compliance within the organisation.



09:00 - 09:20

### **Inclusive Leadership - The Power of Empowerment**



**Silas Heys**  
Head of Legal  
and Secretary  
The Cumberland  
Building Society

The Cumberland Building Society has undergone a significant cultural transformation, emphasising team management and empowering employees to become decision-makers from the ground up. Through diversity and inclusion initiatives, such as 'Belonging' and 'Voice,' they are able to promote inclusivity in decision-making processes across the board, fostering an inclusive environment where all voices are heard and valued.

This session will include strategies for enhancing employee engagement and participation, the crucial role of inclusivity in building a collaborative culture, and the positive impact of empowering individuals in decision-making. Attendees will gain valuable insights into how these initiatives can improve team performance and morale, creating a more dynamic and responsive organisation.





09:20 - 09:40

## **Brand Protection - Strategies for Defending Against Digital Exploitation**



**Keith Davies**  
**Group Chief Risk  
and Compliance  
Officer**  
Admiral Group



**Dan Caunt**  
**Group Company  
Secretary and  
General Counsel**  
Admiral Group

Brands and digital communications, both internal and external, are becoming vital business assets, serving as essential gateways to engage with, sell to, and support all stakeholders. The potential for these interactions is growing as AI personalises and accelerates communication.

However, as a company's digital identity and brand value rise, they also become more appealing targets for cybercriminals, increasing the risk of brand theft, identity theft, and intellectual property misuse.

This session will explore real-world examples of these evolving threats and emphasise the need for rapid, comprehensive solutions to identify and address them effectively. Keith and Dan will also demonstrate how generative AI is enhancing efforts to protect companies' identity assets, as well as what you can do differently to safeguard your organisation.



09:40 - 10:00

## **Solution Provider Case Study**

10:05 - 10:25

## **Solution Provider Case Study Stream 1/2/3/4**

10:30 - 11:00

## **Efficiency Brunch**



11:00 - 12:00

## **Business Meetings**



12:00 - 12:20

## Overcoming the Legacy System Hurdle



**Rhoda Kalejaiye**  
Head of  
Compliance  
Simplyhealth

In order to remain competitive organisations must modernise their systems and processes, often facing the daunting task of moving from outdated legacy systems to more modern, data-driven platforms. Digital transformation plays a critical role in this shift, as businesses strive to harness the power of new technologies to drive innovation.

Drawing from experience, Rhoda will explore the common hurdles encountered when dealing with legacy systems and the complexities of managing compliance throughout the digital transformation process. She will provide insights into managing change within teams and client groups that may be resistant to new technologies. Attendees will gain practical insights to lead successful transformations, maintaining compliance, and leveraging technology for future growth.



12:20 - 12:40

## Transformation Programmes - The Role of Technology and Employee Empowerment



**Mitzi Pryce**  
Executive  
Director -  
Conduct,  
Financial Crime,  
Compliance, and  
Risk Governance  
Standard  
Chartered Bank

Organisations face significant challenges in maintaining effective oversight while cultivating a culture rooted in human values, so in response Standard Chartered Bank have taken steps to address this by enhancing their governance frameworks and prioritising employee engagement. The bank's innovative strategies demonstrate how technology can be leveraged to streamline processes and improve operational efficiency.

Mitzi will explore the importance of aligning employee behaviour with corporate values, sharing insights from their roll out of a new code of conduct that empowers employees to make decisions that reflect the organisation's ethos. She will share techniques for implementing positive incentivisation and behavioural nudges, equipping attendees with the tools to foster an engaged and value-driven workforce.



12:40 - 12:50

## Chair's Closing Remarks

12:50 - 13:50

## Lunch

